



17W

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hsiao, Chia-Shun  
Assignee: Mosel Vitelic, Inc.  
Title: Floating Gate Memory Structures And Fabrication Methods  
Application No.: 10/658,934 Filing Date: September 9, 2003  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-12200-1D US Confirmation No.: 3104

San Jose, California  
November 3, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are not enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be material to patentability as defined in § 1.56(b).

No fee is believed to be required. If a fee is required for this Information Disclosure Statement, please charge the fee to Deposit Account No. 50-2257. This paper is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 3, 2004.

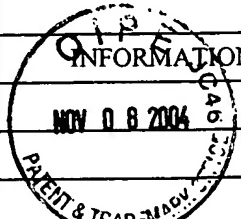
*Michael Shenker* 11-3-04  
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

*Michael Shenker*

Michael Shenker  
Attorney for Applicant  
Reg. No. 34,250

LAW OFFICES OF  
MacPherson, Kwok, Chen &  
Held LLP  
1762 Technology Drive  
Suite 226  
San Jose, CA 95110  
Telephone (408) 392-9520  
Fax (408) 392-9262

|   |  |                   |            |
|---|--|-------------------|------------|
| U.S. Department of Commerce, Patent and Trademark Office  |  | Atty Docket No.   | Serial No. |
|   |  | M-12200-1D US     | 10/658,934 |
|  INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use several sheets if necessary) |  | Applicant(s)      |            |
|   |  | Chia-Shun Hsiao   |            |
|   |  | Filing Date       | Group      |
|   |  | September 9, 2003 | Unassigned |

## U.S. Patent Documents

| *Examiner Initial |    | Document Number | Date      | Name         | Class | Subclass | Filing Date If Appropriate |
|-------------------|----|-----------------|-----------|--------------|-------|----------|----------------------------|
|                   | AA | 6,312,989       | Nov. 2001 | Hsieh et al. |       |          |                            |
|                   | AB | 6,570,215       | May 2003  | Tuan et al   |       |          |                            |
|                   | AC | 6,670,243       | Dec. 2003 | Saido, Kenji |       |          |                            |
|                   | AD | 6,743,675       | Jun. 2004 | Ding, Yi     |       |          |                            |
|                   | AE |                 |           |              |       |          |                            |
|                   | AF |                 |           |              |       |          |                            |
|                   | AG |                 |           |              |       |          |                            |
|                   | AH |                 |           |              |       |          |                            |
|                   | AI |                 |           |              |       |          |                            |
|                   | AJ |                 |           |              |       |          |                            |
|                   | AK |                 |           |              |       |          |                            |

## Foreign Patent Documents

|  |    |          |      |         |       |          | Translation |    |
|--|----|----------|------|---------|-------|----------|-------------|----|
|  |    | Document | Date | Country | Class | Subclass | Yes         | No |
|  | AL |          |      |         |       |          |             |    |
|  | AM |          |      |         |       |          |             |    |
|  | AN |          |      |         |       |          |             |    |
|  | AO |          |      |         |       |          |             |    |
|  | AP |          |      |         |       |          |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | AQ |  |
|  | AR |  |
|  | AS |  |

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.